



Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: HORN et al.

Application No.: 09/942,454

Filing Date: August 30, 2001

For: APPARATUS, METHODS, AND
ARTICLES OF MANUFACTURE
FOR EXECUTING
COMPUTERIZED TRANSACTION
PROCESSES

)
)
) Group Art Unit: 2164

)
) Examiner: Not yet assigned

)
) **INFORMATION DISCLOSURE
STATEMENT**

)
) Attorney Docket No.: G08.054

)
) Buckley, Maschoff, Talwalkar & Allison LLC
) 5 Elm Street
) New Canaan, CT 06840
) (203) 972-0006
)

Commissioner for Patents
Washington, DC 20231

Sir:

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GROUP 3600

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(within 3 months of filing national application; or date of entry of international
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occurs last)

☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ \$ _ fee under 37 CFR 17(p); or
(after 37 CFR 1.97(b) time period, but before final action or notice of
allowance, whichever occurs first)

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☐ Statement under 37 CFR 1.97(e),

- ☐ Petition under 37 CFR 1.97(d)(2)(ii), and
☐ \$_ petition fee under 37 CFR 17(i).
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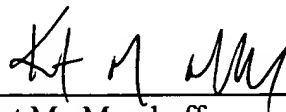
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This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

Respectfully submitted,

November 1, 2002
Date



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PTO/SB/21 (08-00)

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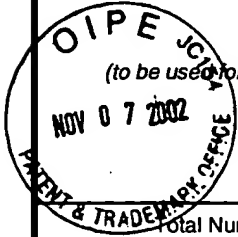
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Total Number of Pages in This Submission

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Application Number

09/942,454

Filing Date

August 30, 2001

First Named Inventor

Horn

Group Art Unit

2164

Examiner Name

Not yet assigned

Attorney Docket Number

G08.054

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☐ Amendment / Reply
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
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- ☐ After Allowance Communication to Group
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- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
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Firm or Individual name

Kurt M. Maschoff

Signature

Date

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